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ERCE TATTORNEY'S DOCKET NUMBER

DE920020035US1

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE (REV. 2-2005)

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO. PCT/EP2003/050895

INTERNATIONAL FILING DATE 25 November 2003 PRIORITY DATE CLAIMED

20 December 2002 TITLE OF INVENTION Secure System and Method for SAN Management in a Non-Trusted Server Environment APPLICANT(S) FOR DO/EO/US Christoph RAISCH Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), 3. (9) and (24) indicated below. The US has been elected (Article 31). 4. 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). b. 🗆 has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. 🗆 is attached hereto. b. 🗆 has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) 7. a. \square are attached hereto (required only if not communicated by the International Bureau). b. 🗆 have been communicated by the International Bureau. c. 🗆 have not been made; however, the time limit for making such amendments has NOT expired. d. 🗆 have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). \boxtimes 9 An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371 (c)(5)). 11. A copy of the International Preliminary Examination Report (PCT/IPEA/409).

A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

- 13. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 14.

 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- A FIRST preliminary amendment.
- 16. A SECOND or SUBSEQUENT preliminary amendment.
- A substitute specification.

12.

- 18.

 A power of attorney and/or change of address letter.
- 19. \square A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 1.825.
- 20.
 A second copy of the published International Application under 35 U.S.C. 154(d)(4).

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- 21. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
- 22. 🖾 Express Mail Label No.

23. Other items or information:

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PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION	U.S. APPLICATION NO (if known_see 37.CFR 1.5) INTERNATIONAL APPLICATION NO.				TION NO.	ATTORNEY'S DOCKET NUMBER			
10/53853/ PCT/EP2003/050895					95	DE920020035US1			
The following fees are submitted:							CALCULAT	IONS	PTO USE
24. 🗵 Basic national fee							\$	\$300.00	
25. ⊠ Examin If International pr satisfy provisions All other situation	\$	\$200.00							
26. Search Search fee (37 C the USPTO as an International Sea All other situation	\$	\$400.00 \$900.00							
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.									
Total Sheets	Extra Sheets	Number o	Number of each additional 50 or fraction thereof (round up to a whole						
- 100 =	0 /50 =	,	0			\$250.00	\$	\$0.00	
Surcharge of \$13 earliest claimed p	\$								
CLAIMS	CLAIMS NUMBER FILED NUMBER EXTRA RATE					NTE			
Total claims	20	- 20 =	0	×		\$50.00	\$	\$0.00	
Independent clair	ms 4	- 3=	1	x		\$200.00	\$	\$200.00	
MULTIPLE DEPENDENT CLAIMS (if applicable)						\$360.00	\$	\$0.00	
TOTAL OF ABOVE CALCULATIONS =							\$ \$1	1,100.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.							\$	\$0.00	
SUBTOTAL =							\$ \$1	1,100.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).							\$	\$0.00	
TOTAL NATIONAL FEE =								1,100.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +								\$0.00	_
TOTAL FEES ENCLOSED =								1,100.00	
	Amount to	be	\$						
	Amount to	be	\$						
a. A check	•	1							
									r the above fees.
A duplicate copy of this sheet is enclosed. c. The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. A duplicate copy of this sheet is enclosed.									
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR).137(a or (b)) must be filed and granted to restore the International Application to pending status									
SEND ALL CORF	10		NV.						
Customer No. 29683 SIGNATURE							They Shrith		
Harrington & Smioth, LLP Harry F. S							_{mith} 🔾		
4 Research Drive Shelton, CT 064									
(203) 925-9400 32,493									
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Express Mail No. EV 661 448 862 US IN THE U.S. PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application of:

Applicant: Raisch U.S. Serial No.:

International Application No.: PCT/EP2003/050895

International Filing Date: 25 November 2003

Title: Secure System and Method for SAN Management in a Non-Trusted

Server Environment

Attorney Docket No.: DE920020035US1

Certificate of Mailing

I hereby certify that the following correspondence:

Transmittal Letter to U.S. Designated/Elected Office (2)

Preliminary Amendment

WO 2004/057798 A1 published application

Declaration and Power of Attorney

Information Disclosure Statement with PTO-1449 and references

International Search Report

Self addressed stamped post card (2)

Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Mail Stop PCT.

Date: June 10, 2005

Claim 7 mian
Elaine F. Mian